



Securities Donation and Authorization Forms

PEA-FRM-S&CDCAF-1008



Increasing Effective Giving

SECURITIES

For contributions of stocks, mutual funds or other marketable securities held in a brokerage account, please complete the attached Authorization Form. **The Philanthropy Fund of America cannot accept any tax-exempt securities or any securities owned for less than one year. Furthermore, all gifts of securities must be free and clear of any liens, encumbrances or other obligations or liabilities.**

We categorize acceptable gifts of securities into two categories: tradable securities and mutual fund shares. Please review the appropriate set of instructions below for the type of security you intend to donate. As you review the following and complete the Donation and Authorization Forms, please contact the Philanthropy Fund of America at 866.988.4483 with any questions or for assistance with these forms.

TRADABLE SECURITIES: We define tradable securities as securities that may be transferred electronically to the Philanthropy Fund of America. Mutual funds are not considered tradable securities.

How to Give:

- Complete the Donation and Authorization Forms to transfer your gift of securities.
- Send the original Authorization Form to the broker/dealer holding the securities (the "delivering broker/dealer"). The delivering broker/dealer may require a Medallion Signature Guarantee*, which can be obtained at financial institutions that participate in the Medallion Signature Guarantee Program.
- Mail the original Donation Form and a copy of the Authorization Form to the Philanthropy Fund at the following address:

**Philanthropy Fund of America
9780 Mount Pyramid Court, Suite 401
Englewood, Colorado 80112
Fax: 866.644.5392**

- Delivering broker/dealer should transfer securities in accordance with instructions on the Authorization Form
- Upon receipt of your gift and completed Donation Form, the Trustee will take approximately 4 business days to liquidate the tradable securities. The Philanthropy Fund will then mail you a confirmation of receipt of your gift, including the value you may be eligible to use for a charitable gift deduction.

MUTUAL FUND SHARES: Mutual fund shares must be transferred from your account, held at the broker/dealer, to the general account registered to the Philanthropy Fund of America.

How to Give:

- Complete the Donation and Authorization Forms.
- Mail the original Authorization Form, Donation Form and a copy of a recent statement from the transferring broker/dealer or mutual fund company to the above address. Please note that you should not send anything directly to the transferring mutual fund company. The Authorization Form must have a Medallion Signature Guarantee*.
- The Philanthropy Fund will work directly with the company holding your mutual fund shares to transfer and redeem the shares.
- Upon receipt of your gift and completed Donation Form, the Philanthropy Fund will take approximately 7 business days to liquidate the mutual fund shares. The Philanthropy Fund will then mail you a confirmation of receipt of your gift, including the value you may be eligible to use for a charitable gift deduction.

ADDITIONAL INSTRUCTIONS: The Authorization Form should be used for either tradable securities or mutual funds. If you are gifting both types of securities, please complete one Authorization Form for each type of gift. **Please include a copy of a driver license for all donors.**

*MEDALLION SIGNATURE GUARANTEE: Please note a notary is not a Medallion Signature Guarantee. For more information, the Securities and Exchange Commission has posted a summary of these programs at <http://www.sec.gov/answers/sigguar.htm>.

CASH

All donors making cash contributions to the Philanthropy Fund of America must complete the Cash Donation Form, available at www.philanthropyfund.org. Cash donations are made by either check or credit card. All checks should be made payable to Philanthropy Fund of America and mailed as directed in the Cash Donation Form.

Upon receipt of your gift and completed Cash Donation Form, the Philanthropy Fund will mail or email you a confirmation of receipt of your gift, including the value you may be eligible to use for a charitable gift deduction.

ADDITIONAL DOCUMENTATION REQUIREMENTS FOR NON-INDIVIDUALS

In addition to the items listed below, a Form W-9 and a copy of the signer's driver license are required for all entities. For non-individual donors, additional documentation must be provided to the Philanthropy Fund to show appropriate authorizations are in place for the proposed donation.

Entity	Governing Documents*	Signer's Authority
C Corp	Articles of Incorporation By-laws as amended	Corporate Officer or Designee via Corporate Resolution
LLC	Operating Agreement as amended	Manager or Designee via consent of the Members or resolution of the Board (if any) in accordance with Operating Agreement
LLP	Partnership Agreement as amended	Managing Partner or Designee via consent of the Partners or resolution of the Board (if any) in accordance with Partnership Agreement
LP	Partnership Agreement as amended	General Partner or Designee via consent of Partners or authority defined under the Partnership Agreement
S Corp	Articles of Incorporation By-laws as amended	Corporate Officers or Designee via the Corporate Resolution
Trust**	Trust Agreement (in its entirety)	Trustee via Certification of Trust

* Governing Documents: Include any amendments or restatements.

** Certain Trusts are not eligible to make a contribution. Please contact the Life Income Funds for more information.

I. DONOR(S) INFORMATION

All Donors named in the donor record will have full access to the donor record information. However, the Primary Donor will receive all correspondence, with the exception of confirmations related to subsequent contributions made by a Secondary Donor. Please include a photocopy of a driver license for all donors, as required for anti-money laundering and terrorism regulations.

- First Donation to Philanthropy Fund of America
- I Have Donated Previously; Donor Record # _____

PRIMARY DONOR

Donor's Complete Name		Citizenship
Social Security Number/TIN		Date of Birth/Inception Date for Entities
Legal Address (PO boxes are not accepted)		
City	State	Zip
Mailing Address (if different, PO boxes are allowed)		
City	State	Zip
Daytime Phone	Evening Phone	Email Address (optional)

SECONDARY DONOR

Donor's Complete Name		Citizenship
Social Security Number/TIN		Date of Birth/Inception Date for Entities
Legal Address (PO boxes are not accepted)		
City	State	Zip
Mailing Address (if different, PO boxes are allowed)		
City	State	Zip
Daytime Phone	Evening Phone	Email Address (optional)

II. DONATION

Please designate the type of donation you are making. For contributions of cash, please complete the Cash Donation Form available at www.philanthropyfund.org; for securities contributions, please complete this form in its entirety.

Securities Contribution: \$_____ Anticipated Amount

Securities Description: Pursuant to the attached Authorization Form

The Philanthropy Fund only accepts tradeable securities and cannot accept tax-exempt securities or securities held by you for less than one year.

III. CONFIDENTIALITY INFORMATION

All information provided herein will be subject to the Privacy Policies of the Philanthropy Fund of America. However, in accordance with the anti-money laundering and terrorism regulations, applicable to various financial institutions that provided financial services to the Philanthropy Fund, information will be obtained, recorded and may be verified that identifies each person who makes a donation to the Philanthropy Fund America or who has access to information associated therewith.

IV. CONFIRMATION AND NONPROFIT ORGANIZATION SELECTIONS

Upon receipt and acceptance of a donation, the Philanthropy Fund will mail to each donor a confirmation statement providing the donor(s) with the value the donor(s) may be eligible to use for a charitable gift deduction. Additionally, the donor(s) will receive instructions on how to make their nonprofit organization selections at www.philanthropyfund.org.

Authorization Form

Please complete and deliver this Authorization Form in accordance with the detailed instructions. Philanthropy Fund only accepts tradeable securities and mutual fund shares. Gifts of tax-exempt securities or any securities held for less than one year are not eligible.

Name of Broker/Dealer Holding Asset(s) _____ Date _____ Daytime Phone Number _____

Address (Street, City, State, Zip) _____

Dear _____:
Name of Broker/Dealer or Mutual Fund Company Holding Asset(s)

Please accept this letter as my authorization to irrevocably transfer the following securities positions or re-register the following mutual fund shares to the Philanthropy Fund of America.

From: _____
My Account Number _____

1. _____ shares of _____
Number Name of Security/Mutual Fund (and symbol, and/or cusip if known)

2. _____ shares of _____
Number Name of Security/Mutual Fund (and symbol, and/or cusip if known)

Please list additional securities and attach it hereto as an exhibit.

Sincerely,

Signature

Print Name - Donor #1

Signature (If Applicable)

Print Name - Donor #2 (If Applicable)

REQUIRED
Place Medallion Signature Guarantee Program Stamp Here

Signature

Date

BROKER/DEALER SECURITIES INSTRUCTIONS: Deliver all eligible securities per these instructions. All transfers of shares are to be made in-kind and are not to be liquidated.

<p>For credit to: DTC: Agent Bank: Institutional ID:</p>	<p>For benefit of: For further credit to: Record of [Primary Donor's Name] A/C:</p>
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MUTUAL FUND SHARES: Trustee will forward this authorization to the mutual fund company to re-register to Welton Street Advisors as Trustee for Philanthropy Fund. Once re-registered the Trustee will provide redemption instructions.

PHYSICAL CERTIFICATES: For donations of physical certificates, send certificates and signed Stock Power Form via registered mail to:

Welton Street Advisors - Attn: ??
9780 Mount Pyramid Court, Suite 400
Englewood, Colorado 80112